

REQUEST FOR PROPOSAL (RFP)
FOR FUNDING BY THE VITICULTURE CONSORTIUM-EAST
AND OTHER SOURCES

A. Deadline for receipt of proposal: **January 23, 2009.**

B. Upload completed proposals online at
<http://www.nysaes.cornell.edu/pubs/vitcon/proposal.php>

1. PAPER COPIES ARE NOT REQUIRED THIS YEAR.
2. Browse to the above URL and follow the on-screen directions to upload proposals.
3. Proposals are requested to be formatted as Word documents.
4. For continuing projects, a progress report of no more than five (5) pages is also required, and is requested as a pdf file.
5. For proposals not being continued, a final report is required.

C. Questions may be addressed to: Mary Lou Dumbleton
Jordan Hall
NYSAES/Cornell University
Geneva, NY 14456
Phone: 315/787-2290; Fax: 315/787-2276
e-mail: mld2@cornell.edu

D. Any proposal received after receipt deadline may not be considered.

BACKGROUND

Two means of funding for grape Research and Extension education in the Eastern U.S. include the federally funded Viticulture Consortium and various industry-sponsored funding programs within different states. The Viticulture Consortium program does not fund work on enology nor grape processing unless included as part of a viticulture research project. Unless specified otherwise, your proposal will be submitted to both the Viticulture Consortium and an appropriate funding organization in your State that has requested to receive the proposals. Therefore portions of the total funding award may be provided by more than one organization. In this way it is possible to demonstrate to the federal partner evidence of shared funding and to maximize available funds. Proposals with matching funds will receive more favorable consideration than project proposals without. The funding organizations in different states are asked to correspond directly with PIs if they provide funds for proposals that are under this RFP process. At this time, Illinois, Michigan, Minnesota, Missouri, North Carolina, New York, Ohio, Pennsylvania, and Virginia organizations are closely coordinating their funding of proposals with the Viticulture Consortium. Proposals selected to receive funds from the Viticulture Consortium will be returned to PIs for completion of forms to comply with federal requirements. The proposal format described below will serve as a proposal for both the Viticulture Consortium, and the cooperating programs from different states.

EMPHASIS ON TECHNOLOGY TRANSFER

The Viticulture Consortium has enhanced its emphasis on technology transfer and Extension education to facilitate rapid adoption of new knowledge, practices, and technology by grape producers. Examples of such projects are the development and delivery of a series of regional workshops on critical topics; development of a website or major print and/or electronic publications, development of curriculum and courses for distance education, or other new projects that disseminate knowledge of viticulture and encourage adoption of new knowledge, practices, or technology. To encourage increased adoption by producers: **1) All applied research projects must contain a budgeted technology transfer component; and 2) A separate category for technology transfer has been created to encourage and support proposals specifically designed to address educational needs.**

FUNDING PRIORITIES

An updated list of funding priorities for the Viticulture Consortium is attached. In addition, the funding priorities for the grape industries in Michigan, Missouri, New York, North Carolina, Ohio, Pennsylvania, and Virginia are also attached. Interdisciplinary and/or regional cooperative proposals that address aspects of the grape industry's profitability and competitiveness are encouraged. The American Vineyard Foundation, headquartered in Napa, California, may consider providing partial funding for projects funded by the Viticulture Consortium-East. The AVF RFP with research priorities can be found on their web site (www.avf.org) by the first week in December.

The National Grape and Wine Initiative (NGWI) is a nationwide coalition representing grape growers, processors, wineries, and representatives of academic institutions and cooperative extension organizations committed to improving our industry. The vision of NGWI is to greatly increase the economic impact of the U.S. grape and wine industry by assuring outcome based funding to deliver innovative, prioritized, collaborative research, technology development, extension and education. The Viticulture Consortium looks to NGWI for direction on national issues and research priorities. Attached is a targeted list of NGWI priorities for 2008. **Please state how your proposal addresses the research priorities identified by the National Grape and Wine Initiative (NGWI).** The priorities can be found at www.ngwi.org.

FUNDING DECISIONS/REVIEW PROCESS

Announcement of award decisions by the Viticulture Consortium will be made by mid-April, 2009. Proposals submitted to Viticulture Consortium, will be reviewed by two or more peer scientists and by the Regional Guidance Committee for scientific merit, for how well they address the needs of the grape industry and for appropriateness of budget. Extension projects will be reviewed by two or more peer Extension specialists for educational merit and need and for appropriateness of budget. For continuing projects, the progress reports will also be considered. A copy of the review sheets used by reviewers are attached. The Regional Guidance Committee consists of thirteen individuals from six states and Ontario, Canada. Award announcements made by state funding organizations will vary for each state.

To date, Congress has not yet passed the budget for special grants for the coming year, so the amount available is uncertain at this time.

Publications or presentations on research projects supported by the Viticulture Consortium should provide the appropriate recognition that funding either whole or in part came from the Consortium. The following statement must be added to acknowledge Viticulture Consortium-East support: **“This research was partially (wholly) supported by a grant from the Viticulture Consortium-East”.**

GENERAL INSTRUCTIONS

A. Upload completed proposals online @

<http://www.nysaes.cornell.edu/pubs/vitcon/proposal.php>

The online submission process consists of the following steps:

Step 1: Enter title of project, indicate new or continuing project, enter principal investigator’s name and e-mail address.

Step 2: Upload the proposal document. Proposals are requested to be formatted as Word documents.

Step 3: Upload a progress report if “continuing Project” was selected in step 1. Progress reports of no more than 5 pages are requested as a pdf file.

Step 4: Following a successful upload, a confirmation page will be displayed. You are advised to print this screen for your records.

You will receive a confirmation of receipt of proposals. If you do not receive a confirmation, please contact MaryLou Dumbleton at mld2@cornell.edu immediately.

B. Proposals will become the property of Cornell, and will not be returned.

C. Confidential information should not be included.

D. In the event that proposals are received that are inconsistent with the RFP or have budgets that are not within the scope of the Consortium’s assets, Cornell University reserves the right to:

1. Inform the PI of changes that are necessary for the proposal to be considered;
2. Negotiate with PI to determine if an acceptable budget can be obtained by modifying the proposal.

E. Projects requesting continued funding should not make changes in the title or objectives. If an objective has been completed, it should be so stated in the proposal and written up in the Progress Report along with progress on the objectives that are being continued. If an objective is changed, or a new objective is undertaken, it should be incorporated into a new proposal.

F. Cornell reserves the right to reject any and all proposals that do not conform to the guidelines.

PROPOSAL FORMAT

Sections 1-3 should be no longer than 5 pages. The minimum font size for Sections 1-3 is 11 point; minimum margins 0.75 inches. Proposals must contain the following sections.

Section 1. Cover Page. Page 1

- a. Title of Project – Indicate in bold type “New Project” or “Continuing Project”
- b. Investigators, cooperators, and their affiliations and contacts, including phone & fax numbers and e-mails. Indicate percentage time commitment for each principal investigator.
- c. Indicate “Research and Extension” or “Extension Only”.
- d. Please consult with cooperators prior to including their names on this proposal.

Section 2. Narrative. Pages 2-5

- a. Objectives: Express specific aims of the project in clear, concise language. For renewal proposals, restate the objectives included in the original proposal. If an objective has been completed, it should be so stated in the proposal and written up in the Progress Report along with progress on the objectives that are being continued. If an objective is changed, or a new objective is undertaken, it should be incorporated into a new proposal.
- b. Justification and Importance of Proposed Research: Describe the previous work that has been done and the importance of the proposed research to the grape and wine industry.
- c. Experimental Procedures to Accomplish Objective(s): Discuss the experimental procedures you propose to employ. Describe experimental methods and plot design data collection etc.
- d. Technology Transfer Plan: Outline a plan for broadly communicating research results to a producer audience that encourages the application of new knowledge or technology.
- e. Outcomes and Benefits Expected: (economic, environmental, etc.)

Section 3. Budget Information. Page 6 – Funds will be available July 1, 2009-June 30, 2010.

- a. Budget: (provide details and a brief justification of each item)
- salary and wages, including fringe benefits
 - supplies
 - equipment
 - travel
 - publication cost

Indirect Costs are not allowable in this program.

NOTE FOR CORNELL PRINCIPLE INVESTIGATORS ONLY:

The NY Wine and Grape Foundation portions of the budget will be subject to Indirect Costs. Therefore, Cornell PI's proposals must include Indirect Costs in their budgets at the rate of 12.3% of the total direct costs. After funding decisions are made, separate budgets will be made to the Wine/Grape Foundation and to the Viticulture Consortium-East to show Indirect Costs in the Wine/Grape portion only.

- b. If this is a multiple year project, complete the following:

Year in which project began _____

Anticipated years remaining for project _____

Estimated total cost of project _____

- c. If funding is also being sought from other sources to support the proposed project, indicate the following:

Source _____

Amount _____

PROGRESS REPORT FORMAT

- A. Summarize Accomplishments/Benefits to date:

- **Detailed Progress Reports of no more than 5 pages are required for continuing projects. Progress Reports must be SEPARATE from the proposal. Upload completed Progress Reports as a pdf file online by January 21, 2008. @<http://www.nysaes.cornell.edu/pubs/vitcon/proposals.php>**
- Projects should provide a list of significant outreach activities and/or documents delivered to producer audiences. If possible provide measured or estimated adoption or other impacts of new knowledge, practices or technology.

- B. **Appendix** (Please be brief. The appendix is not included in the six-page limit)

- a. **Impact Statement**: Provide 1-3 sentences describing the impact of the project for incorporation into the Viticulture Consortium CRIS report.
- b. **Publications**: List publications and presentations resulting from the research/extension funded by the Viticulture Consortium-East.
- c. **Tables and Graphs** can be included in the Progress Report if it does not cause the report to exceed five pages. Additional tables and graphs may be submitted as

an appendix to the Progress Report. If this is done, add the following statement at the end of the Progress Report: “Detailed data may be obtained by contacting

MaryLou Dumbleton

Jordan Hall

NYSAES/Cornell University

Geneva, NY 14456

Phone 315/787-2290; Fax 315/787-2276

E-mail mld2@cornell.edu”